

Optimal control of an epidemic model of leptospirosis with time delay

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Abstract: In this paper, we consider a leptospirosis epidemic model with non linear incidences by applying the optimal control techniques and time delay. First we formulate the control epidemic model with time delay and using the control for the infectious host. We want to control the infection in the population and maximize the recovered population. For the eradication of the infection, we use two control variables, to minimize the infection and maximize the population of susceptible and recovered individuals. Find the existence of the control problem and then we characterize the optimal control problem by using the well known method of Pontryagin's Maximum Principle. The numerical simulation of both the system were solved by using backward runge-kutta order four scheme for the solution of the problem numerically.

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1. Introduction

Mathematical modeling of population dynamics an important research area. Mathematical modeling of infectious disease is one of the important research area now-a-days. The basic and important concern for mathematical models in epidemiology is qualitative analysis, the persistence, performance, asymptotic stability and the existence and uniqueness for the models. Many influential results related to this area have been established and can be found in many articles and books. The first epidemic model for the spread of infectious disease was introduced by [12]. They divided the population in three classes the Susceptible, infected and recovered. They assumed that the susceptible population in a fixed population become infected by contacted with infected individuals, infected individuals either die or recover at a constant rate. Their models consists of three differential equations of ODE's which represent the rate of change in their respective population.

In recent years, some mathematical models incorporating delayed effects have been studied. Smith in [24] and Thieme [25] in (1990) derived a scalar delay differential equations for the population of immature and mature age classes. The maturation period is regarded as a time delay. Using the same idea, a system of delayed differential equations for mature population in a patchy environment has been proposed in So et al [26]. More recent studies consider an epidemic model with density dependence to describe

disease transmission in variable population size, which can be found in cooke et al [6,8,28]. Zaman et al [21] study an SIR epidemic model with control strategies and using delay. Zaman et al. [10] studied the stability and optimal vaccination of a controlled SIR epidemic model without time delays. Many mathematical models have been proposed to study the optimal control and delay such as [3,5,10,29,30,8,9,11]

In this paper we consider a leptospirosis epidemic model [31] with time delay to prevent the spread of disease by using optimal treatment strategies. In order to do this, we first introduce a control variable representing the optimal treatment for infectious host and set an optimal control for our model. Moreover, we show the existence of an optimal control for this control problem and the infection in a community dies out by using the possible control treatment. We also analyzed the optimal control and optimality system using optimal control techniques. For the numerical simulation we use the real data of Thailand. Our optimal control strategies decrease infection in the population and increase the susceptible and recovered individuals in the population.

The paper is organized as follows. In Section 2 we study the basic model and applying the optimal control and time delay. Find the jacobian and Hamiltonian to show the existence of the proposed model. Numerical Simulation of the model with the complete description of the parameters is discussed in

Section 3. In the last Section 4 we wind up our work with the conclusion and references.

Optimal Control Techniques in Delay Model

To begin the optimal control procedure, it is necessary to have a model which describe the population dynamics. Youshida and Hara [27] considered an SIR model with time delay. We use an epidemic model of leptospirosis disease model to set our optimal control model. We have a population which consists of five differential equations. The system have two categories, Human and Vector. The human population consists of three sub-classes Susceptible S_h Infected I_h and Recovered R_h . The human population is denoted by N_1 with $N_1 = S_h + I_h + R_h$. The vector population is denoted by N_2 consists of two classes that is susceptible S_v and infected I_v , and $N_2 = S_v + I_v$. The model consists of a system of non-linear differential equation is given in the following.

$$\begin{aligned}\frac{dS_h}{dt} &= b_1 - \mu_h S_h - \frac{\beta_1 S_h I(t)}{N_1(t)} - \frac{\beta_2 S_h I_v(t)}{N_1(t)} + \lambda_h R_h, \\ \frac{dI_h}{dt} &= \frac{\beta_1 S_h I(t)}{N_1(t)} + \frac{\beta_2 S_h I_v(t)}{N_1(t)} - (\mu_h + \delta_h + \gamma_h) I_h(t), \\ \frac{dR_h}{dt} &= \gamma_h I_h(t) - (\mu_h + \lambda_h) R_h, \\ \frac{dS_v}{dt} &= b_2 - \gamma_v S_v - \frac{\beta_3 S_v I_h(t)}{N_2(t)}, \\ \frac{dI_v}{dt} &= \frac{\beta_3 S_v I_h(t)}{N_2(t)} - (\gamma_v + \delta_v) I_v,\end{aligned}$$

Here b_1 is the birth rate of human population, β_1 , β_2 , β_3 respectively represent the transmission coefficient between human, susceptible human and infected vector and susceptible vector and infected human. Natural mortality rate of human population is represented by μ_h . λ_h is constant of proportionality where the infected human become susceptible again. Disease death rate for human population is denoted by δ_h . Natural mortality rate of vector population is shown by γ_v . δ_v is the disease death rate of vector.

α_1 the parameter measure inhibitory effect of human vector population and α_2 the parameter measure

inhibitory effect of human population. b_2 is the birth rate for vector population.

The total dynamics of human population is represented by N_h given by,

$$N_h = b_1 - \mu_h N_1 - \delta_h I_h,$$

and the total dynamics of vector population is denoted by N_v and given by,

$$N_v = b_2 - \gamma_v N_v - \delta_v I_v.$$

Next we apply the optimal control and delay to our proposed model (1), we will derive an optimal control model to fit our control strategy. The theoretical foundation of optimal control models with underlying dynamics given by ordinary differential equations was developed by Pontragin and his co-worker in Moscow in 1950 [9]. So by Pontryagin's Maximum principle, its extensions and appropriate numerical methods we well set an optimal control problem in the time delayed model of leptospirosis disease. Our main goal is to investigate an effective treatment strategy to control infection diseases. We can make an epidemic model which satisfy that the number of infected individuals is not larger than the susceptible population and want to increase the recovered individuals from the infection. The definition of the control variables u_1 and u_2 is given by,

$u_1(t)$ Represents (cover all cuts, water dry, full-cover boots, shoes and long sleeve shirts when handling animals).

$u_2(t)$ Represents (wash hands thoroughly on a regular basis and shower after work),

To do this, we set an optimal control problem, with the control set defined by

$$U = \{(u_1(t), u_2(t)) \in L^2(0, T) : 0 \leq u_1(t), u_2(t) \leq 1, 0 \leq t \leq T\}.$$

where $u_1(t), u_2(t)$ is Lebesgue measurable and called a control variable.

$$J_\xi(u) = \int_0^T [(A_0 I_h + A_1 I_v + A_2 S_v) + \frac{1}{2} (\xi_1 u_1^2 + \xi_2 u_2^2)] dt.$$

subject to the control system

$$\begin{aligned} \frac{dS_h}{dt} &= b_1 - \mu_h S_h - \frac{\beta_1 S_h I(t-h)}{N_1(t-h)} - \frac{\beta_2 S_h I_v(t-h)}{N_1(t-h)} + \frac{w u_1(t) I_h(t)}{N_1(t)} + \lambda_h R_h, \\ \frac{dI_h}{dt} &= \frac{\beta_1 S_h I(t-h)}{N_1(t-h)} + \frac{\beta_2 S_h I_v(t-h)}{N_1(t-h)} - (\mu_h + \delta_h + \gamma_h) I_h(t) - \frac{u_1(t) I_h(t)}{N_1(t)}, \\ \frac{dR_h}{dt} &= \gamma_h I_h(t) - (\mu_h + \lambda_h) R_h + \frac{(1-w) u_1(t) I_h(t)}{N_1(t)}, \\ \frac{dS_v}{dt} &= b_2 - \gamma_v S_v - \frac{\beta_3 S_v I_h(t-h)}{N_2(t-h)} - \delta_2 u_2(t) S_v(t), \\ \frac{dI_v}{dt} &= \frac{\beta_3 S_v I_h(t-h)}{N_2(t-h)} - (\gamma_v - \delta_v) I_v - \delta_2 u_2(t) I_v(t), \end{aligned}$$

$$S_h(0) \geq 0, \quad I_h(0) \geq 0, \quad R_h(0) \geq 0, \quad S_v(0) \geq 0, \quad I_v(0) \geq 0.$$

Here ξ_1, ξ_2 are the positive constants to keep balanced of the sized of infected human individuals, infected vector individuals, susceptible vector individuals, I_h, I_v, S_v at time t and $w \in [0, 1]$ and δ_1 and δ_2 are positive constants.

In epidemic dynamics, stability, existence and optimal control theory are important research area. At first we will show the existence of solutions for the control system (6). In this control problem, we assume the restriction on the control variables such that $0 \leq u_1, u_2 \leq 1$, where $(u_1, u_2) \geq 0$ for all $t \in [0, T]$. The non-negative constants δ_1 represents a time delay on the infected individuals I and the total individual human population is N_1 and N_1 during the spread of diseases.

Susceptible individuals acquire infection at a per capit $\beta_1 I_h(t-h) N_1(t-h), \beta_2 I_v(t-h) N_1(t-h)$. In our model the incidence rate is $\beta_1 S_h I_h(t-h) N_1(t-h)$ and $\beta_2 I_v(t-h) N_1(t-h)$ and $\frac{\beta_3 S_v I_h(t-h)}{N_2(t-h)}$. This incidences rate seems more

reasonable than $\beta_1 I_h(t) N_1(t), \beta_2 I_v(t) N_1(t)$ because the force of infection is proportional to $\frac{I_h(t-h)}{N_1(t-h)}$ with time delay. Note that in some epidemic models, bilinear incidence rate $\beta_1 S_h(t) I_h(t)$ and standard incidence rate $\beta_1 S_h(t) I_h(t) / N$ are frequently used. Actually the infection probability per contact is likely influenced by the number of infected individual because more infected individuals can increase infection risk. For instance, during SARS outbreak in 2003. The Chinese government did a lot of protection measures and control polices: closing schools, closing restaurants,

postponing conferences, isolating infection etc. These actions greatly reduced the contact number per unit time. Then we write the control system (6) in the following form:

$$\frac{dW(t)}{dt} = AW + F(W(t))$$

Where

$$W(t) = \begin{bmatrix} S_h(t) \\ I_h(t) \\ R_h(t) \\ S_v(t) \\ I_v(t) \end{bmatrix},$$

$$\begin{bmatrix} -\frac{\beta_1 S_h I_h(t-h)}{N_1(t-h)} - \frac{\beta_2 S_v I_v(t-h)}{N_1(t-h)} + b_1 + \frac{w u_1 I_h}{N_1} \\ \frac{\beta_1 S_h I_h(t-h)}{N_1(t-h)} + \frac{\beta_2 S_v I_v(t-h)}{N_1(t-h)} - \frac{u_1 I_h}{N_1} \\ \frac{(1-w) u_1 I_h}{N_1} \\ -\frac{\beta_3 S_v I_h(t-h)}{N_2(t-h)} + b_2 \\ \frac{\beta_3 S_v I_h(t-h)}{N_2(t-h)} \end{bmatrix}$$

$$A = \begin{bmatrix} -\mu_h & 0 & \lambda_h & 0 & 0 \\ 0 & -P_2 & 0 & 0 & 0 \\ 0 & \gamma_h & -P_3 & 0 & 0 \\ 0 & 0 & 0 & -\gamma_v - \delta_1 u_2 & 0 \\ 0 & 0 & 0 & 0 & -P_1 - \delta_2 u_2 \end{bmatrix}$$

The second term on the right hand side of equation (8) satisfies,

$$|F(W_1) - F(W_2)| \leq C_1 (|(S_{1h}(t) - S_{2h}(t))| + C_2 |(I_{1h}(t) - I_{2h}(t))| + C_3 |(R_{1h}(t) - R_{2h}(t))| + C_4 |(S_{1v}(t) - S_{2v}(t))| + C_5 |(I_{1v}(t) - I_{2v}(t))|),$$

$$\leq C (|(S_{1h}(t) - S_{2h}(t))| + |(I_{1h}(t) - I_{2h}(t))| + |(R_{1h}(t) - R_{2h}(t))| + |(S_{1v}(t) - S_{2v}(t))| + |(I_{1v}(t) - I_{2v}(t))|),$$

where the positive constant $C = \max(C_1, C_2, C_3, C_4, C_5)$ is independent of the state variables. Also we have

$$|G(W_1) - G(W_2)| \leq C |W_1 - W_2|,$$

where $C = C_1 + C_2 + C_3 + C_4 + C_5 + \|M\| < \infty$. So, it follows

that the function G is uniformly Lipschitz continuous.

From the definition of control variables and non-negative initial conditions we can see that a solution of the system (5) exists see [19].

Now, we consider the control system (6) with the initial conditions (7) to show the existence of the control problem. Note that for bounded Lebesgue measurable controls and non-negative initial conditions, non-negative bounded solutions to the state system exists [19].

$$L(I_h, I_v, S_v, u_1, u_2) = (A_0 I_h + A_1 I_v + A_2 S_v) + \frac{1}{2} (\xi_1 u_1^2 + \xi_2 u_2^2).$$

We seek for the minimum value of the Lagrangian and the Hamiltonian for the control system is given by

$$M = L(I_h, S_v, I_v, u_1, u_2) + \lambda_1 \frac{dS_h}{dt} + \lambda_2 \frac{dI_h}{dt} + \lambda_3 \frac{dR_h}{dt} + \lambda_4 \frac{dS_v}{dt} + \lambda_5 \frac{dI_v}{dt}.$$

In order to find an optimal control pair, we consider the optimal control problem (6-7). First we have to find the Lagrangian and Hamiltonian for the optimal control problem (6-7). Actually, the Lagrangian of the optimal control problem is given by

Theorem: Let $S_h^*(t), I_h^*(t), R_h^*(t), S_v^*(t)$ and $I_v^*(t)$ be the state variables with associated optimal solutions with the corresponding optimal control variables $u_1^*(t), u_2^*(t)$ for the optimal control problem (4-6). Then there exists adjoint variables $\lambda_i, i = 1, 2, \dots, 5$. satisfying

$$\begin{aligned} \frac{d\lambda_1}{dt} &= \lambda_1(t) (\mu_h + \frac{\beta_1 I_h^*(t-h)}{N_1^*(t-h)} + \frac{\beta_2 I_v^*(t-h)}{N_1^*(t-h)} - \frac{w u_1^*(t) I_h^*(t)}{(N_1^*(t))^2}) \\ &+ \lambda_2(t) (\frac{-\beta_1 I_h^*(t-h)}{N_1^*(t-h)} - \frac{\beta_2 I_v^*(t-h)}{N_1^*(t-h)} + \frac{u_1^*(t) I_h^*(t)}{(N_1^*(t))^2}) \\ &+ \lambda_3(t) ((1-w) u_1^*(t) \frac{I_h^*(t)}{(N_1^*(t))^2}) - A_b, \\ \frac{d\lambda_2}{dt} &= \lambda_1(t) (\frac{w u_1^*(t) (S_h^*(t) + R_h^*(t))}{(N_1^*(t))^2} + \lambda_h) \\ &+ \lambda_2(t) (\frac{u_1^*(t) I_h^*(t)^2}{(N_1^*(t))}) + \lambda_3(t) (-\gamma_h + \frac{(1-w) u_1^*(t) (S_h^* + R_h^*)}{(N_1^*(t))^2}), \\ \frac{d\lambda_3}{dt} &= \lambda_1(t) (\frac{w u_1^*(t) I_h^*(t)}{(N_1^*(t))} - \lambda_h) + \lambda_2(t) (\frac{u_1^*(t) I_h^*(t)}{(N_1^*(t))}) \\ &+ \lambda_3(t) (\frac{(1-w) u_1^*(t) I_h^*(t)}{(N_1^*(t))^2}) \\ \frac{d\lambda_4}{dt} &= \lambda_4(t) (\gamma_v + \frac{\beta_3 I_h^*(t-h)}{N_2^*(t-h)} + \delta_1 u_2^*(t)) + \lambda_5(t) (\frac{-\beta_3 I_h^*(t-h)}{N_2^*(t-h)}) - A_1, \\ \frac{d\lambda_5}{dt} &= \lambda_5(t) ((\gamma_v + \delta_1) + \delta_2 u_2^*(t)) - A_2 \end{aligned}$$

with the transversality or boundary conditions

$$\lambda_i(T) = 0, i = 1, 2, \dots, 5. \tag{11}$$

And the optimal control variables is given as

$$u_1^*(t) = \max(\min(\frac{-w I_h^*(t) \lambda_1}{N_1^*(t)} + \frac{I_h^*(t) \lambda_2}{N_1^*(t)} - \frac{\lambda_3 (1-w) I_h^*(t)}{N_1^*(t)}, 1, 0), \xi_1)$$

$$u_2^*(t) = \max(\min(\frac{\lambda_5 \delta_1 S_v^*(t) + \delta_2 I_v^*(t) \lambda_4}{\xi_2}, 1, 0), 0).$$

Proof: To prove the above result, i.e the adjoint equation and the transversality conditions, we use the Hamiltonian (9). The adjoint system was obtained by Pontryagin's Maximum Principle [19].

$$\frac{d\lambda_1}{dt} = -\frac{\partial M}{\partial S_h}, \dots, \frac{d\lambda_5}{dt} = -\frac{\partial M}{\partial I_v}$$

with $\lambda_i(T) = 0$. To obtain the required characterization of the optimal control given by (12-13), solving the equations,

$$\frac{\partial M}{\partial u_1} = 0, \text{ and } \frac{\partial M}{\partial u_2} = 0$$

in the interior of the control set and by the control space U , we derive the equation (10-13). \square

Substituting the corresponding derivatives in the above equations and after the arrangement we get the adjoint equations (10-13).

In addition, the second derivative of the Lagrangian with respect to u_1^*, u_2^* is positive, which shows that the

optimal problem is minimum at control u_1^*, u_2^* . By substituting the value of u_1^*, u_2^* in the control system (6) we get the following system

$$\begin{aligned} \frac{dS_h}{dt} &= b_1 - \mu_h S_h - \frac{\beta_1 S_h I(t-h)}{N_1(t-h)} - \frac{\beta_2 S_h I_v(t-h)}{N_1(t-h)} + \\ & \frac{-wI_h^*(t)\lambda_1}{N_1^*(t)} + \frac{I_h^*(t)\lambda_2}{N_1^*(t)} - \frac{\lambda_3(1-w)I_h^*(t)}{N_1^*(t)} \\ & \frac{w \max(\min(\frac{\xi_1}{N_1(t)}, 1, 0)I_h(t))}{N_1(t)} + \lambda_h R_h, \\ \frac{dI_h}{dt} &= \frac{\beta_1 S_h I(t-h)}{N_1(t-h)} + \frac{\beta_2 S_h I_v(t-h)}{N_1(t-h)} - (\mu_h + \delta_h + \gamma_h)I_h(t) \\ & \frac{-wI_h^*(t)\lambda_1}{N_1^*(t)} + \frac{I_h^*(t)\lambda_2}{N_1^*(t)} - \frac{\lambda_3(1-w)I_h^*(t)}{N_1^*(t)} \\ & \frac{\max(\min(\frac{\xi_1}{N_1(t)}, 1, 0)I_h(t))}{N_1(t)}, \\ \frac{dR_h}{dt} &= \gamma_h I_h(t) - (\mu_h + \lambda_h)R_h \\ & \frac{(1-w) \max(\min(\frac{\xi_1}{N_1(t)}, 1, 0)I_h(t))}{N_1(t)} \\ & \frac{-wI_h^*(t)\lambda_1}{N_1^*(t)} + \frac{I_h^*(t)\lambda_2}{N_1^*(t)} - \frac{\lambda_3(1-w)I_h^*(t)}{N_1^*(t)}, \\ \frac{dS_v}{dt} &= b_2 - \gamma_v S_v - \frac{\beta_3 S_v I_h(t-h)}{N_2(t-h)} - \delta_1 \max(\min(\frac{\lambda_3 \delta_1 S_v^*(t) + \delta_2 I_v^*(t)\lambda_4}{\xi_2}, 1, 0)) \\ S_v(t), \frac{dI_v}{dt} &= \frac{\beta_3 S_v I_h(t-h)}{N_2(t-h)} - (\gamma_v - \delta_v)I_v - \delta_2 \max(\min(\frac{\lambda_3 \delta_1 S_v^*(t) + \delta_2 I_v^*(t)\lambda_4}{\xi_2}, 1, 0))I_v(t). \end{aligned}$$

With the Hamiltonian M^* at $(I_h^*, S_v^*, I_v^*, u_1^*, u_2^*, \lambda_1', \lambda_2', \lambda_3', \lambda_4', \lambda_5')$ is

$$\begin{aligned} M^* &= A_1 I_h^* + A_2 I_v^* + A_3 S_v^* + \frac{1}{2} (\xi_1 \left\{ \frac{-wI_h^*(t)\lambda_1}{N_1^*(t)} + \frac{I_h^*(t)\lambda_2}{N_1^*(t)} - \frac{\lambda_3(1-w)I_h^*(t)}{N_1^*(t)} \right\})^2 \\ & + \xi_2 (\max(\min(\frac{\lambda_3 \delta_1 S_v^*(t) + \delta_2 I_v^*(t)\lambda_4}{\xi_2}, 1, 0)))^2 \\ & + \lambda_1 \lambda_1' + \lambda_2 \lambda_2' + \lambda_3 \lambda_3' + \lambda_4 \lambda_4' + \lambda_5 \lambda_5'. \end{aligned}$$

Numerical Simulation and Summary

In this we present the numerical simulations of the proposed model (1) and the delay control model (6) by using Runge-Kutta method. Solving first the model (1) and then solving the proposed model (6). Using the adjoint equation (10) with the boundary conditions (11) solving numerically by Runge-kutta order four backward scheme. The constants used in the objective functional with their numerical values we assumed in the numerical simulation is $A_2 = 0.001, A_3 = 0.002, \xi_1 = 0.7, \xi_2 = 0.3$ and $\delta_2 = 0.0031$. The values of parameters used in the numerical simulations are presented in **Table 1**.

In this simulation the bold line shows the system with no control and the dashed line shows the system with control throughout Figure 1 to Figure 5. Figure 6 and Figure 7 represents the control variable u_1 and u_2 respectively. The aim of this paper was to control the infection in the host population by using the control variables in the form of treatment or

suggestion. The control shows in the Figure 1 that the population of susceptible human increases and Figure 2 the infection in the host human decreases. Figure 3 shows the recovered individuals of human population which increases. Also the population of susceptible vector and infected vector and susceptible vector also decreases in Figure 4 and Figure 5.

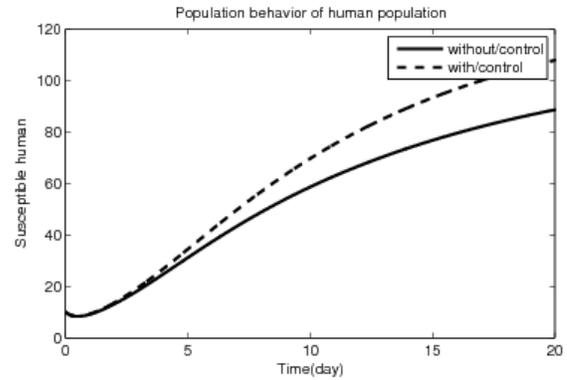


Figure .1. Represents the comparison of susceptible human in both the system without control and control.

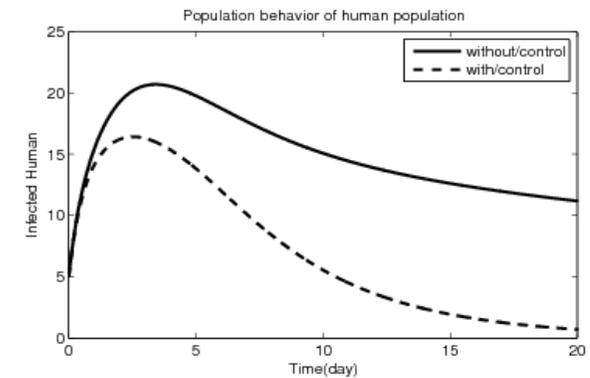


Figure 2. Represents the comparison of infected human in both the system without control and control.

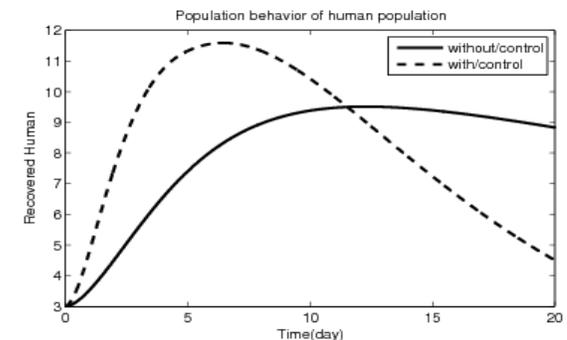


Figure 3. Represents the comparison of recovered human in both the system without control and control.

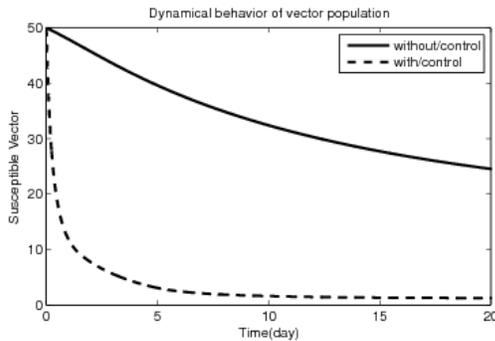


Figure 4. Represents the comparison of susceptible vector in both the system without control and control.

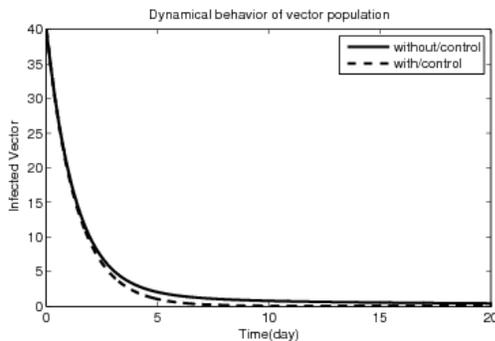


Figure 5. Represents the comparison of infected vector in both the system without control and control

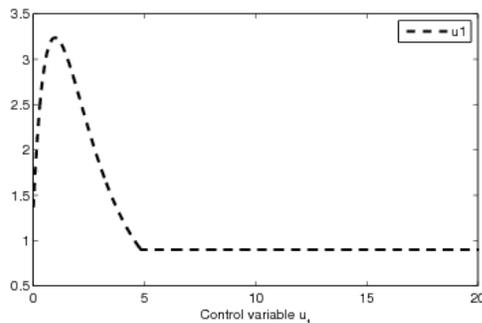


Figure 6. Represents the the contro variable u_1

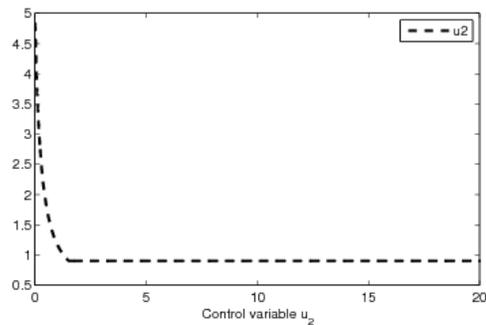


Figure 6. Represents the the contro variable u_2

In this paper we consider an epidemic model by applying the optimal control and time delay. First we formulate the model and the applying the time delay and optimal control with control variables u_1, u_2 . Then we showed the existence of the control system and find the numerical solution of the both the system without control and control. Finally we conclude our work by references.

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Table 1. Parameters value used in the numerical simulation of the optimal control problem.

Notation	Parameters Description	Value
b_1	Recruitment rate for human population	13
β_1	Transmission rate for human population	0.01
β_2	Transmission rate for vector population	0.95
β_3	Transmission rate for S_v and I_h	0.09
μ_h	Natural mortality rate of human population	0.00001
λ_h	Proportionality constant	0.02
δ_h	Disease death rate for human population	0.051
γ_v	Natural mortality rate of vector population	0.051
δ_v	Disease death rate for vector population	0.051
b_2	Recruitment rate for vector population	3

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