

Nature Inspired Metaheuristics Techniques based Dynamic Subcarrier, Bit and Power Allocation for OFDMA-Based Relay Networks

S. Nagarani

Research Scholar, AUC , C.V. Seshaiiah, Professor, SREC

Email: sureshnagarani@yahoo.co.in

Abstract: Relay Network is considered as a capable kind of a future network where in addition to BSs and SSs, Relay Stations (RS) also exists. A RS forwards messages between a source and destination. Relay Stations (RSs) and Orthogonal Frequency-Division Multiplexing (OFDM) have become promising domain for the future generation of wireless systems due to its inherent resistance to frequency selective multi-path fading and its flexibility in resource allocations. In recent times, resource allocation in OFDM has been attracting several researches in the field of wireless networking. It has been observed recently that the integration of OFDM and relay networks is providing considerable performance. In this paper, comparative analysis is carried out by comparing the performance of the two nature inspired metaheuristic optimization techniques such as Ant Colony Optimization (ACO) and Artificial Bee Colony (ABC). The performance of both the approaches is compared in terms of Cumulative Distribution Function (CDF), bit error rate, convergence behaviour and delay rate. It is observed from the results that ABC performs better than the ACO.

[S.Nagarani. **Nature Inspired Metaheuristics Techniques based Dynamic Subcarrier, Bit and Power Allocation for OFDMA-Based Relay Networks.** *Life Sci J* 2013;10(1):3241-3251]. (ISSN: 1097-8135). <http://www.lifesciencesite.com>. 409

Keywords: OFDM, Base Station, Relay Stations, Artificial Bee Colony (ABC), Ant Colony Optimization (ACO)

I. INTRODUCTION

Future Wireless Cellular Networks shall offer a high capacity in a given service area particularly in urban environments [1]. High data rates are provided to a Subscriber Station (SS) also at the cell border. Frequency bands used by next generation networks are positioned at higher carrier frequencies than frequencies of today's wireless cellular networks. The coverage of a transmitter is minimized at higher carrier frequencies. Providing high data rates are quite tough at the cell border of huge cells [2].

Ubiquitous high data rate coverage is the main focus of next generation wireless networks. Provided with the luxurious and scarce spectrum, attaining this goal needs high-spectral-efficiency approaches that depend on aggressive resource reuse [3].

Ubiquitous coverage means that service has to be given to the users in the most unfavorable channel conditions through effective distribution of the high data rate (capacity) across the network. Rising capacity along with coverage in conventional cellular architecture dictates intense deployment of Base Stations (BSs), which results to be a cost-wise inefficient solution to service providers [4]. A RS which is inexpensive with higher functionality than the BS is capable of delivering the high data rate coverage to remote areas in the cell.

In the meantime, OFDM air interface is promising approach for providing significant performance for next generation networks. This is

because of the reality that OFDM has the inherent capability to combat frequency-selective vanishing [5].

Resource allocation in OFDM has become one of the active areas of research which refers to assigning subcarriers to consumers and choosing the power levels and the modulation approaches on the assigned subcarriers, with the goal of satisfying individual consumer Quality of Service (QoS) necessities, e.g., data rate requirements [6, 7, 8].

The incorporation of OFDMA technology and the relay network structure provides a promising platform, which offers nice flexibility in terms of resource allocation, such as subcarrier allocation, scheduling and power control to achieve the multi-dimensional diversity gain [9, 10].

A unique feature of OFDM based relaying is that the frequency diversity can be utilized by subcarrier pairing, which matches the incoming and outgoing subcarriers at the relay based on channel dynamics and thus provides significant system performance in terms of resource allocation [11, 12].

Thus, this research work focuses on the downlink of a cell in an OFDMA-based relay network. A BS and a fixed number of RSs are installed in the cell. Multiple Subscriber Stations (SSs) are positioned in the cell. Either, a direct connection (connection between the BS and a SS) or a two hop connection (connection between the BS and a RS and also between the RS and a SS) is utilized to serve SS from

the BS [13]. This research mainly focuses on the resource allocation problems such as allocation of subcarriers, bits and power in such a manner that the transmit power of the BS and of the RSs are reduced.

The resource allocation issue is influenced by the requested data rate of each link and is also affected by constraint that a RS will not be able to transmit on a subcarrier and concurrently receive on another subcarrier so as to eradicate well-built intercarrier interference.

The toughness of the resource allocation issue is aggravated with the number of subcarriers, the probable number of bits per subcarrier and the number of links in a cell [13].

In this paper, comparative analysis is carried out by comparing the performance of the two optimization techniques namely, Artificial Bee Colony (ABC) and Ant Colony Optimization (ACO).

II. OFDM

Making use of the dynamism in wireless channels, OFDM subcarriers can be adaptively altered and allocated to the “best” Wireless Subscriber (WS) to attain efficient frequency and multi-user diversity efficiency.

OFDM is a multi-carrier transmission scheme where the bandwidth is partitioned into several non-interfering narrow band subcarriers [14]. Each user can be assigned one or more subcarriers for data transmission. The resources assigned in an OFDM system are the subcarriers and the transmit powers. OFDM has been applied in various domains which comprises of digital audio/video broadcasting [16, 16] and wireless LANs [17]. It has also been considered as the access technique for future systems such as WiMAX [18].

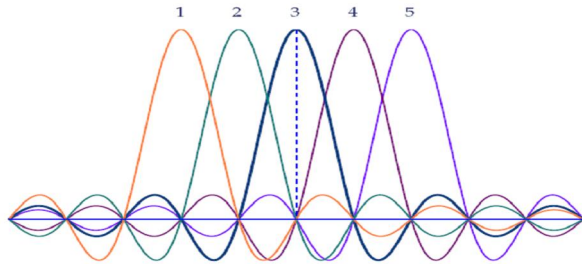


Figure 1: Example of an OFDM signal spectra: the spectrum of each subcarrier has a null at the center frequency of each of the others.

The main benefit of OFDM is the effective utilization of the available frequency spectrum. In a traditional multi-carrier system, the frequency band is partitioned into non-overlapping subcarriers to remove the cross-talk between subcarriers known as Inter Carrier Interference (ICI). This non-overlapping approach of the subcarriers results in incompetent utilization of the available spectrum.

Alternatively, the overlapping of the spectrum of the subcarriers is facilitated by OFDM which provides high spectral efficiency. For this process, ICI between subcarriers must be lessened. This is done by making the subcarriers mutually orthogonal. The orthogonality between subcarriers is maintained by choosing the spacing between the subcarriers. The orthogonality of the subcarriers denotes that each subcarrier has an integral number of cycles over a symbol period. As a result, there is a difference of an integral number of cycles between any two subcarriers over a symbol period. [19]. Figure 1 shows an instance of an OFDM signal spectra. By means of perfect synchronization at the receiver, the data on each subcarrier could be decoded effectively without the interference from other subcarriers.

III. LITERATURE SURVEY

Several researchers have proposed various techniques on resource management. This section discusses about some of the techniques related to the power allocation in wireless networks.

An initial theory on throughput optimal scheduling in wireless multihop mesh networks was presented by Tassiulas et al., [20], which integrates queue-awareness into the scheduling policy which assigns resources vigorously to multicommodity flows. The authors indicated that maximizing the sum of a queue length based drift metric over all node pairs result in maximum throughput which stabilizes all network queues under the biggest group of mean exogenous arrival rates for which the network queues can be stabilized. Yet, the authors suggested that developing competent algorithms in order to solve the optimization problem given the constraint set imposed by the system model of each specific application is vital for implementation. Various researches have utilized throughput-optimal scheduling thereafter proposing scheduling policies for adhoc networks, non-OFDMA, or traditional (non-relaying) cellular networks with various optimization algorithms. For example, in [21] and [22], traditional cellular SDMA/TDMA and OFDMA networks are respectively regarded thus eradicating the joint routing and scheduling feature of such policies and limiting the queue stabilizing opportunities to the resource allocation at the BS.

As fairness is vital to realize the preferred service ubiquity and reliability in cellular networks, it should be observed that throughput-optimal policies are not fairness oriented in principle, as they focus at stabilizing all user queues under any heterogeneous traffic flows within the system’s capacity region. Thus, a congestion control method is presented by Eryilmaz et al., [23] for a traditional cellular network to focus on user fairness via traffic policing, if the arrival rates at the BS are adaptive.

Neely et al., [24] presented a centralized Dynamic Routing and Power Control Policy (DRPC) in a single-carrier adhoc network with multi-product flows, rate adaptation and node power budgets. In each time slot, the DRPC handles a one-shot optimization to assign power to a group of links with the selected products such that the sum metric is maximized. Neely et al., did not recommend any techniques to handle such an optimization under the node power constraints and the co-channel interference leading the attainable rates of these links. Thus, when the power control dimension is taken into account, a centralized joint routing and scheduling approach is presented by Viswanathan and Mukherjee [25] for the downlink of a single carrier CDMA cellular relay network under symmetric traffic arrival techniques. Viswanathan and Mukherjee deduced that throughput optimal scheduling is an efficient technique in such a scenario. It is implicit that a route to the User Terminal (UT) may consist of an indefinite number of hops. The approach is highly complex and it is not appropriate to multi-carrier systems.

IV. NATURE INSPIRED METAHEURISTICS APPROACHES FOR OPTIMIZED BIT AND POWER ALLOCATION IN OFDM

This research work uses two nature inspired metaheuristics optimization approaches such as Ant Colony Optimization and Artificial Bee Colony Optimization approaches for optimized bit and power allocation in OFDM based relay networks.

4.1. Formulation of the Resource Allocation Problem

The cell of the considered relay network is formulated as follows. A BS and a number of RSs and of SSs are in the cell. A direct or a two hop connection is formed from the BS to each SS by means of the assignment algorithm given in [1]. An index $k = 1, 2, \dots, K$ is used to denote all the K links of the cell. The links from the BS to a RS or to a SS are grouped in the set K_1 . The links between all RSs and the SSs are in the set K_2 . An OFDMA system is taken into account with N subcarriers and a subcarrier index $n = 1, 2, \dots, N$ is defined. The BS assigns subcarriers, bits and power to the links. The BS has ideal facts about the noise power and the instant channel gain of all subcarriers of the links. On each subcarrier n of a link k the same noise power σ_k^2 is assumed. The BS knows about a requested data rate R_k for each link. A frame based transmission is applied. A frame comprises of S slots where a slot has the duration of an OFDM symbol. A frame based time division multiplexing is exploited to partition reception and transmission of a RS. A frame is partitioned into two subframes. The first subframe comprises of S_1 slots with index 1 to S_1 . In the first

subframe, the BS broadcasts to the RSs and to those SSs which use direct connections. The BS exploits all N subcarriers. During the second subframe of length $S_2 = S - S_1$, the RSs transmit from slot $S_1 + 1$ until slot S . The subframe index is represented by m , i.e., $m \in \{1, 2\}$. The coherence time of the channel is assumed to be larger than the duration of a frame. A subcarrier, bit and power allocation technique is applied to a complete frame. Each subcarrier can be assigned only to one link in a subframe. A subcarrier may be loaded with no data or with a modulation symbol carrying a number of bits based on the selected constellation size of the modulation approach. It is assumed that QPSK, 16-QAM or 64-QAM can be used. The number of bits loaded on a subcarrier during a slot is c . It is observed that c can denote coded as well as uncoded data. The possible values of c are given as the elements of a set called $D = \{0, 2, 4, 6\}$. The bits c must be transmitted based on a maximally tolerated bit error probability on a subcarrier. The function $f_k(c)$ illustrates the necessary receive power on a subcarrier for the reception of c bits per symbol based on a noise power and a tolerated bit error probability on link k . It is derived from the formula of the bit error probability P_b of QPSK and QAM depending on the signal to noise ratio [26], the function $f_k(c)$ is given by

$$f_k(c) = \frac{(2^c - 1)\sigma_k^2}{3} \left(Q^{-1} \left(\frac{P_b}{4} \right) \right)^2 \tag{1}$$

where $Q^{-1}(\cdot)$ represents the inverse complementary error function. The function $f_k(c)$ is monotonically increasing with $f_k(0) = 0$. The transmit power needed on a subcarrier is given by

$$P_{k,n} = \frac{f_k(c)}{\alpha_{k,n}^2} \tag{2}$$

where $\alpha_{k,n}^2$ denotes the instantaneous channel gain of link k and subcarrier n .

An indicator variable is introduced which illustrates if subcarrier n is assigned to link k and if subcarrier n is loaded with c bits. The indicator variable $u_{k,n,c}^{(m)}$ is defined as

$$u_{k,n,c}^{(m)} = \begin{cases} 1 & \text{if } c \text{ bits are mapped on subcarrier } n \text{ allocated to link } k \\ 0 & \text{otherwise} \end{cases} \tag{3}$$

The transmit power used in subframe m is given by

$$P_m = \sum_{k \in K_m} \sum_{n=1}^N \sum_{c \in D} \frac{f_k(c)}{\alpha_{k,n}^2} u_{k,n,c}^{(m)} \tag{4}$$

where P_1 is the transmit power of the BS and P_2 is the transmit power of the RSs. Since bits of a link are only

ransmitted in one of the two subframes, an instantaneous data rate

$$R_k^{(m)} = \frac{S}{S_m} R_k \tag{5}$$

of a link is defined where $R_k^{(m)}$ gives the data rate which is obtained in the subframe m in which the link k is served. The instant data rate of a link k during the frame in which this link shall not be served is zero.

Minimizing the transmit power of the BS and the power of the RSs is written as

$$P_{min} = \min_{u_{k,n,c}^{(m)}} \max\{P_1, P_2\} \tag{6a}$$

$$\sum_{n=1}^N \sum_{c \in D} u_{k,n,c}^{(m)} \geq R_k^{(m)}; \forall k \in K_m; m \in \{1, 2\} \tag{6b}$$

$$\sum_{k \in K_m} \sum_{c \in D} u_{k,n,c}^{(m)} = 1; \forall n; m \in \{1, 2\} \tag{6c}$$

The optimization problem (6) is selected as a min-max optimization to facilitate that the power of the BS and the sum of the power of all RSs is reduced without favoring one of them. The constraint (6b) assures that each link obtains its requested data rate. The constraint (6c) denotes that a subcarrier is assigned to only one link in a subframe. Problem (6) can be solved by an efficient search algorithm. As the complexity of such an exhaustive search algorithm increases exponentially with the number of variables, such a solution is not applicable in practice. An applicable resource allocation method is proposed in the next section.

4.2. Dynamic Resource Allocation Method

In order to assure an appropriate solution of problem (6), the problem is split into the following subproblems: Initially, the subframe sizes S_1 and S_2 are obtained. The solution of this subproblem is provided by an efficient algorithm which adjusts the subframe size to the channel state and the requested data rate on the links. Secondly, a dynamic subcarrier, bit and power allocation is applied. For this, an algorithm defined for a network without RSs is adapted to a relay network. For this operation, an efficient optimization technique is adapted in this paper.

A. Subframe Size

The subframe sizes S_1 and S_2 must be obtained without knowing the allocation of the subcarriers to the links, the bits transmitted on a subcarrier or the power used on a subcarrier. Therefore, the necessary power of the BS and of the RSs is evaluated rather than accurately determined. For all possible sizes of the subframes, the maximum of the transmit power of the BS and of the RSs is

estimated. The subframe size is selected which results in smallest maximum.

The evaluation of the necessary transmit power depends on a representative number of bits per subcarrier and on a representative channel gain of a link. The representative channel gain of a link is estimated by

$$\bar{\alpha}_k^2 = \frac{1}{N} \sum_{n=1}^N \alpha_{k,n}^2 \tag{7}$$

In (7), the arithmetic mean value is selected were in each channel has an equal weight, as no knowledge of the subcarrier allocation is given. The representative number of bits per subcarrier is \bar{c}_m with $\bar{c}_m \in R^+$. In order to identify S_1 , each subcarrier is assumed to carry \bar{c}_m bits.

The number B_m of bits which must be transmitted in a subframe is equal to the number of slots in a subframe times the sum of the requested data rates in a subframe given by

$$B_m = S_m \sum_{k \in K_m} R_k^{(m)} \tag{8}$$

For all possible sizes of the first subframe and the corresponding sizes of the second subframe, the representative number of bits is calculated by

$$\bar{c}_m = \frac{B_m}{NS_m} \tag{9}$$

The smallest possible size of the first subframe is given if the number B_1 of bits is provided by loading all subcarriers with the maximum number of bits defined in D. The size of the first subframe is lower bounded by

$$S_1 \geq \lceil \frac{B_1}{N \max\{D\}} \rceil \tag{10}$$

where $\lceil \cdot \rceil$ represents the rounding to the next greater integer value and $\max\{D\}$ is the greatest element of the set D. The size S_2 is given by the eqn $S_2 = S - S_1$. The size S_1 is upper bounded by assuming that the highest number of bits is loaded on all subcarriers in the second subframe, i.e.,

$$S_1 \leq S - \lceil \frac{B_2}{N \max\{D\}} \rceil \tag{11}$$

The calculation of the transmit power of the BS and the RSs, respectively, is given by

$$\bar{P}_m = \sum_{k \in K_m} \frac{R_k}{\sum_{l \in K_m} R_l} N f_k(\bar{c}_m) \alpha_k^2 \tag{12}$$

An arithmetic mean value is selected in which each power is weighted by its normalized requested data rate as it is assumed that the higher the data rate of a link the more subcarriers are allocated to that link. Out of all possible combinations of S_1 and S_2 , the combination (S_1^*, S_2^*) is chosen which fulfills

$$(S_1^*, S_2^*) = \arg \min_{s_1, s_2} \max\{\bar{P}_1, \bar{P}_2\} \quad (13)$$

B. Subcarrier, Bit and Power Allocation

By considering the eqn (13), the power of the BS and of the RSs can be reduced separately by identifying an optimal subcarrier, bit and power allocation per subframe, i.e. the optimization problem (6) is divided into two optimization problems. The problem in allocating subcarriers, bits and power in such a manner that the transmit power is reduced subject to a requested data rate on each link is formulated and solved for a scenario without RSs [27]. In this approach, this problem is solved in a scenario of a relay network. Keeping the constraints (6b) and (6c), the power used in a subframe is minimized given by

$$P_{min}^{(m)} = \min_{u_{k,n}^{(m)}} \sum_{k \in K_m} \sum_{n=1}^N \sum_{c \in C} \frac{f_k(c)}{\alpha_{k,n}^2} u_{k,n}^{(m)} \quad (14a)$$

Subject to:

$$\sum_{n=1}^N \sum_{c \in C} c u_{k,n}^{(m)} \geq R_k^{(m)}; \forall k \in K_m \quad (14b)$$

$$\sum_{k \in K_m} \sum_{c \in C} u_{k,n}^{(m)} = 1; \forall n \quad (14c)$$

Different to problem (6), the subframe size is fixed. Subcarriers, bits and power are assigned independently in both subframes. During the first subframe, BS is the only transmitter present with multiple receivers such as RSs or SSs.

In order to evaluate the performance, this paper employs ACO and ABC algorithm to solve the optimization problem and search for optimal set of optimal subcarrier, bit and power allocation per subframe.

4.3. ACO based Optimization Algorithm for Power Reduction

Ant Colony Algorithm is a probabilistic approach for solving computational problems [28]. This approach can be applied irrespective of the objective function complexity in most of the scenarios, making it useful for functions that are highly nonlinear. ACO algorithm focuses to search for an optimal path depending on the behavior of ants looking for a path between colony and food.

When ants find the food they return to their colony though depositing pheromone substance. Thus, based on the pheromone level, the other ants can reach the food by following the “shortest” paths which is marked with strongest pheromone quantities. An Ant tends to select a path positively correlated to the pheromone intensity of founded trails.

The discrete algorithm is a useful meta-heuristic for the travelling salesman problem depending on this biological metaphor. It links an amount of pheromone $\tau(i, j)$ with the connection

between two cities. Each ant is located on a random start city and constructs a solution going from city to city, until it has visited all cities. The probability that an ant k in a city i choose to go to a city j is given by equation (15) [29]:

$$P_{ij}^k = \begin{cases} \frac{\tau_{ij}(t)^\alpha \eta_{ij}(t)^\beta}{\sum_{j \in \text{allowed } k(t)} \tau_{ij}(t)^\alpha \eta_{ij}(t)^\beta} & \text{if } j \in \text{allowed } k(t) \\ 0 & \text{otherwise} \end{cases} \quad (15)$$

In this equation, $\tau_{(i,j)}$ is the pheromone between i and j and $\eta(i, j)$ is a simple heuristic function and this is the inverse of the cost of the connection between i and j . β describes the relative significance of the heuristic information. Once all ants have constructed a tour, the pheromone trail intensity will be updated. This is done based on the following equations.

$$\tau_{ij}(t+n) = (1-\rho)\tau_{ij}(t) + \Delta\tau_{ij}(t, t+n) \quad (16)$$

The left segment of the equation makes the pheromone on all segment to decay where as the right part of the equation increases the pheromone level on all edges visited by all ants. The amount of pheromone an ant k deposits on an edge is defined by:

$$\Delta\tau_{ij}^k(t+n) = \begin{cases} \frac{Q}{L_k} & \text{if ant } k \text{ uses edge } (i,j) \text{ in } t \\ 0 & \text{otherwise} \end{cases} \quad (17)$$

L_k is the length of a tour created by ant k . Similarly, the increase of pheromone of an edge is based on the number of ants that use this edge.

The power system optimization problems are dealt with discrete ant colony optimization in [30, 31]. Problem addressed in this paper is unique due to possible load shedding requirement and the output being a binary value.

Modified Ant Colony Optimization: The discrete algorithm is mainly utilized for ordering problems such as traveling salesman problem, quadratic assignment problem etc. However, the subset problems are fairly different from ordering problems. It is essential to choose the best subset out of the whole set, probably fulfilling certain additional constraints. There is no perception of path here, so it is tough to apply the ideas of discrete ant colony algorithm. For the ordering problems, solution is fixed length, as it is necessary to search for a permutation of a known number of elements. Solutions for subset problems do not have a fixed length. Therefore, it is essential to create a number, N_{max} , which will be used to obtain the end of construction cycle for all the ants [32]. The procedural steps are given below:

- The intensity of a pheromone trail on item i at time $t + N_{max}$ is given by following equation, where $N_{max} < n$ is the maximum of items allowed to be added to some solution by some ant.

$$\tau_i(t + N_{max}) = (1 - \rho)\tau_i(t) + \Delta\tau_i^k(t, t + N_{max}) \quad (18)$$

- The increment in the pheromone level in the time $t + N_{max}$ is obtained by summing the contribution of each ant. In the following equation, G is based on the problem and gives the amount of trail being added to item i . Generally, $G(L_k) = Q/L_k$ or $G(L_k) = QL_k$ for minimization or maximization problems and Q is a parameter of the method. L_k is the objective or fitness function found by k th ant.

$$\Delta\tau_i(t, t + N_{max}) = \sum_{i=1}^n \Delta\tau_i^k(t, t + N_{max}) \quad (19)$$

$$\Delta\tau_i^k(t, t + N_{max}) = \begin{cases} G(L_k) & \text{if ant } k \text{ inc} \\ 0 & \text{otherwise} \end{cases} \quad (20)$$

- The possibility of choosing a specific item ip as the next item is given by following equation, in which allowed $k(t)$ is the set of remaining feasible items. Therefore, with the higher values of τ_{ip} and η_{ip} , it is more gainful to include item ip in the partial solution.

$$P_{ip}^k(t) = \begin{cases} \frac{\tau_{ip}(t)^\alpha [\eta_{ip}(t)]^\beta}{\sum_{ip \in \text{allowed } k(t)} [\tau_j(t)]^\alpha [\eta_j(t)]^\beta} & \text{if } ip \in \text{allowed } k(t) \\ 0 & \text{otherwise} \end{cases} \quad (21)$$

In this approach, two types of heuristics namely static and dynamic heuristics are considered. Static: It is set at the beginning of run to a fixed value. Dynamic: It is based on the partial solution [29].

The subset-based and ordering-based approaches have several features in common. But, in the ordering based algorithms, the pheromone is deposited on the paths where as for subset based algorithms; no path exists connecting the items. The main idea of the ordering problem is that “the more amount of pheromone on a specific path, the more beneficial is that path”. This idea is adapted in the following way in this approach, “the more pheromone trail on a specific item, the more beneficial that item is”. Thus, the pheromone is moved from paths to items. Subsequently, a local heuristic is also used in this new version, taking into account items only instead of connections between them.

4.4. ABC based Optimization Algorithm for Power Reduction

A modeling of artificial bee colony system is seen in figure 2. An efficient optimization algorithm that utilizes the bee behavior in food forging is used in this approach for optimization of the subcarrier, bit and power allocation.

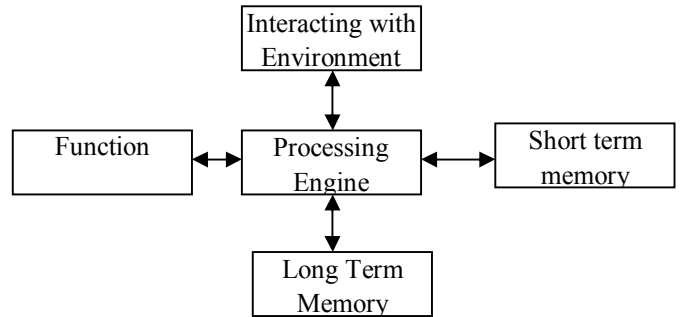


Figure 2: Architecture of Artificial Bees' Colony System

The major steps of the algorithm are as below:

- 1) Initialization
- 2) Repeat
- 3) Place the employed bees on their food sources
- 4) Calculate the probability values
- 5) Place the onlooker bees on the food sources
- 6) Send the scouts to the search area for discovering new food sources
- 7) Memorize the best food source found so far
- 8) until a termination is satisfied, and output the best food source found so far

Three types of bees such as employed, onlooker and scouts are involved in this process. There is a different role for each bee in the optimization process. Employed bees remain above the nectar source and keep the adjacent sources in memory. Onlooker bees obtain that data from employed bees and formulate a resource choice to gather the nectar. Moreover, the scout bees are very much responsible for calculation. The algorithm consists of three steps. Employed bees are sent to scamper for resources and the amount of nectar is determined in the initial step.

In the second step, onlooker bees build a resource option suitable to the data they obtain from determining new nectar resources. Finally, in the third step, one of the employed bees is selected randomly as a scout bee and it is sent to the sources to discover new sources [33]. Half of the bees in the colony are chosen as employed and others are considered as onlooker bees in the algorithm. Thus, the number of employed bees is equal to the number of nectar sources. The food sources in this technique refer to the probable solutions of the issue to be optimized. The amount of nectar which belongs to a source represents the quality value of that source as shown in Figure 3 [33].

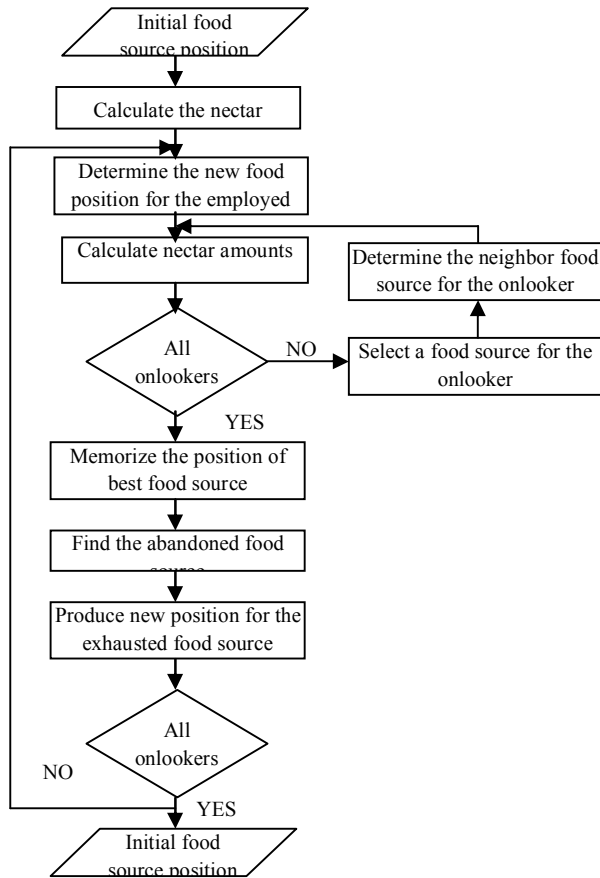


Figure 3: Work Flow of ABC algorithm

In the initial step of ABC, random solutions are generated in the particular range of the variables x_i ($i = 1, \dots, S$). In the next step, novel sources are determined by each employed bee whose total is equivalent to half of the total sources. Equation 22 determines a new source.

$$V_{ij} = x_{ij} + \phi_{ij}(x_{ij} - x_{kj}) \quad (22)$$

In Equation 22, k is equal to $(\text{int}(\text{rand} * S) + 1)$ and j is equal to $1, \dots, D$. After creating v_{ij} , they compared x_{ij} solutions and the best one was considered as the source.

In the subsequent step, a food source is selected with the probability by means of Equation 23 [33].

$$P_i = \frac{fit_i}{\sum_{j=1}^{SN} fit_j} \quad (23)$$

The scout bees are responsible for random studies in each colony. Scout bees do not make use of any pre-information when they are searching for nectar sources, and as such, their exploration was done randomly [34]. The scout bees are selected among the employed bees based on the limit parameter. The source is said to be eliminated if a solution that represents a source is not realized with specific

number of trials. The bee of that source identifies new source as a scout bee. The number of incomings and outgoings to a source is attained by the 'limit' parameter. Equation 24 is used to discover a new source of a scout bee [33].

$$x_{ij} = x_j^{min} + (x_j^{max} - x_j^{min}) * \text{rand} \quad (24)$$

In ABC, the employed and the onlooker bees are involved in the operation process and the scout bees are used in the process of investigation. Bees focus mainly on the maximization of the energy function E/T , indicating the quantity of the foods that are brought to the nest. The maximization of the objective function is $F(\theta_i)$, where $\theta_i \in R^D$ is done in the maximization problem. θ_i denote the position of the i th source, in which $F(\theta_i)$ represent the nectar amount in this source and it is proportional with $E(\theta_i)$. $P(c) = [\theta_i(c) | i = 1, 2, \dots, S]$ represents the population of the sources which comprises of the locations of all the sources. Selecting a source of onlooker bees is based on the value of $F(\theta)$. If there are additional nectar amount of a source, it means that there is more probability that the source would be chosen. Thus, the likelihood of choose a nectar source in the position is [33]:

$$P_i = \frac{F(\theta_i)}{\sum_{k=1}^S F(\theta_k)} \quad (25)$$

After the onlooker bee examines the dance of the employed bees and chooses the source with the equality (equation (25)), it discovers a neighboring source and takes its nectar. The location information of the selected neighbor is calculated by the following equation [33]:

$$(c + 1) = \theta_i(c) \pm \phi(c) \quad (26)$$

$\phi(c)$ is assessed by considering the difference of certain parts of $\theta_i(c)$ and $\theta_k(c)$, in which k represents different from i , are randomly formed indices of a solution in the population. If the nectar amount of $\theta_i(c + 1)$, $F(\theta_i(c + 1))$, is greater than the nectar amount in the position $\theta_i(c)$, then the bee goes to its beehive and shares this information with the other bees and stays $\theta_i(c + 1)$ in the mind as a new position. Otherwise, it keeps $\theta_i(c)$ in mind. If the nectar source of the position θ_i is not realized by the number of 'limit' parameter, then the source in the position θ_i is discarded and the bee of that source becomes scout bee. The scout bee produces random explorations and discovers a new source and the new found source is assigned to θ_i . The algorithm iterates to the desired cycle number, and the sources having the best nectar in mind denote the possible values of the variables. The solution of the object function is denoted by the attained nectar amount [33].

The system is solved for the optimal resource allocation which allocates subcarriers, bits and power to the existing links to solve the optimization problem.

V. PERFORMANCE EVALUATION

The performance of both the approaches is compared in terms of Cumulative Distribution Function (CDF), bit error rate, convergence behaviour and delay rate. The resource allocation approach through modified subset based ACO and ABC is evaluated in a cell in which a BS and two RSs are deployed. The cell comprises of three hexagons which are equal in size. A BS is positioned in the center of one hexagon. The RSs are positioned in the centers of the neighboring hexagons. The SSs are uniformly distributed in this case and allocated to the BS or RSs based on a best server algorithm [35]. The parameters chosen for the evaluation are given in Table 1.

TABLE 1. PARAMETES USED FOR THE EVALUATION

Parameter	Value
Side Length of Hexagon	400 m
Bandwidth	5 MHz
Power of White Gaussian Noise	-99 dBm
Number of subcarriers N	128
Path loss from BS to RS in dB where d is the distance in meters	$38.5+23.5 \log_{10}(d)$
Path loss from BS to SS and from RS to SS in dB	$38.4+35 \log_{10}(d)$
Standard deviation log-normal fading between BS and RS	3.4 dB
Standard deviation log-normal fading between BS and SS and between RS and SS	8 dB
Antenna gain between BS and RS	17 dBi
Requested sum rate in cell	192 bits/slot
Maximally tolerated bit error probability per connection $P_{a,c}$	10^{-2}
Frame duration	40 slots

The parameters denote a general OFDMA system with fundamental features of a system according to IEEE 802.16, LTE or WINNER. The channel between BS and RS is modeled by a line of sight scenario called B5a and defined in [36].

5.1. Cumulative Distribution Function (CDF)

The channels between BS and SS and RS and SS are modeled by a non-line of sight scenario called C2 and defined in [36]. An antenna gain

between BS and RSs is assumed to obtain an enhanced channel condition on the first hop of a two-hop connection. An omnidirectional antenna is employed for the transmissions between the BS and a SS and between a RS and a SS. The sum of the requested data rates of all SSs called sum rate is always constant to make the results comparable when the number of SSs is altered. In order to consider SSs with different data rate requests, an efficient traffic model is applied in which the requested data rate of a SS is given by a random segment of the sum rate which can be between 0% and 100%. The transmission between two nodes is only trustworthy based on a given bit error probability given in (2). A bit error probability $P_{e,c}$ maximally tolerated on a connection is given. For a two hop connection, the maximally tolerated bit error probability is well approximated [37] by the following equation.

$$P_{a,c} = 1 - (1 - P_e)^2 \tag{27}$$

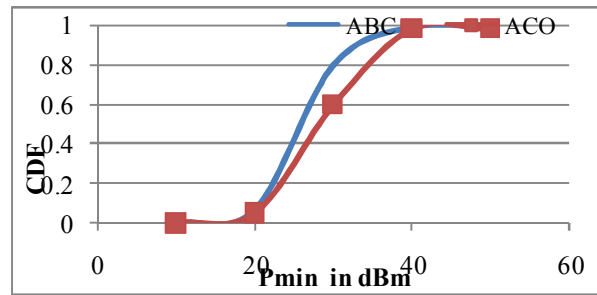


Figure 4: CDF of the maximum out of the transmit powers of the BS

In Figure 4, the cumulative distribution functions (CDFs) of the maximum out of the transmit powers of the BS and the sum of the powers of the RSs are given for the introduced methods. The connections of eight SSs are considered within a frame. It is observed from the figure that the median of the proposed ACO based OFDM relay is lesser than the other approaches taken for comparison.

5.2. Bit Error Rate

Figure 5 shows an example on the difference in coded bit error rate between ACO and ABC. The simulations are performed for a wireless 1024 subcarrier OFDM system with a 50-sample cyclic prefix.

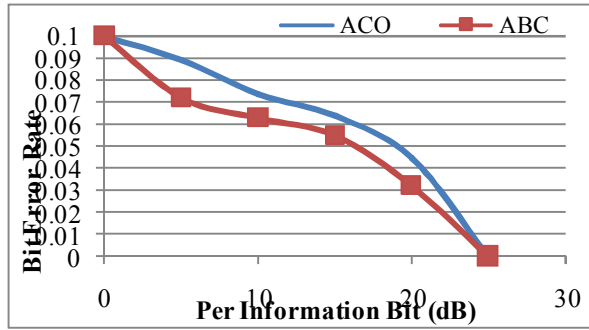


Figure 5: Comparison of Bit Error Rate

It is observed from the figure 5 that the ABC approach provides less bit error when compared with the ACO.

5.3. Convergence Behaviour

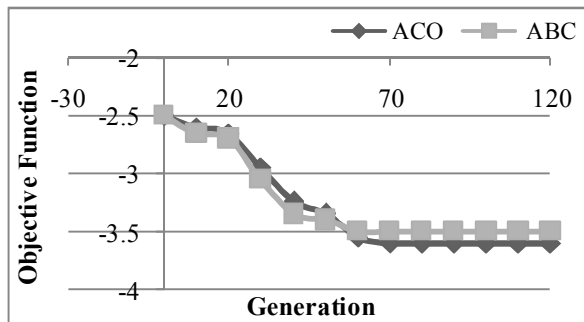


Figure 6: Comparison of Objective Function of the Optimized Technique

It is observed from the figure 6 that the ABC approach provides better convergence when compared with the ACO. The ABC approach takes 60 iterations for convergence where as the ACO takes 70 iterations. Thus, ABC provides better convergence performance.

5.4. Delay Rate

Figure 7 shows the comparison of delay rate against the CDF for ACO and ABC.

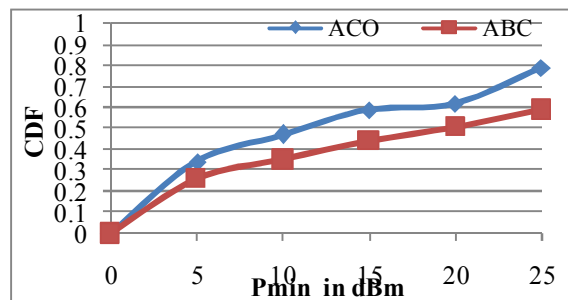


Figure 7: Comparison of Bit Error Rate

It is observed from the figure 7 that the ABC approach provides less delay when compared with the ACO.

VI. PERFORMANCE ANALYSIS

From the experimental results, it is observed that ABC approach outperforms the ACO approach in terms of Cumulative Distribution Function (CDF), Bit error rate, convergence behavior and delay rate.. This section discusses about the thorough analysis of the two metaheuristic techniques.

6.1. Problems in ACO

In ACO, the tracking depends on the pheromone values at each node, the values of them must be updated regularly to keep its current level as a result of its evaporation. This updating process presents substantial overhead in the optimization process. The final optimal solution can be obtained by examining all of the solution candidates generated by ant exploration. Since, the process is a sequential one in which the solution selection is done only at the end, leads to computational overhead and memory limit problems. Suppose a group of ten ants have been deployed for the optimal solution generation, and if this group of ants fails, then a new group of ten other ants have to be deployed. The time spent for the initial process will be a mere waste and leads to substantial time overhead.

The main reasons for the better performance of ABC over ACO are discussed below.

Since, ABC is a Non-Pheromone based technique; there is no need for the updating of pheromone values. The communication between the bees is by means of waggle dance; that is done by setting a status flag for each bee. Parallel behavior of group of bees (multi-threading) makes the algorithm faster in reaching near global optimal solution. The final optimal solution is the improvement done during each iteration of the bees' exploration process. There is no need to examine all the solution candidates generated from the beginning to the end at the final step. Hence, computational overhead and memory limit problems are balanced. If there is no improvement in the current solution, then the scout will start a new search and a new set of test cases are generated. There is no need to deploy more bees for this case. Hence, time overhead is reduced.

VII. CONCLUSION

In this paper, OFDMA based resource allocation with relays has been analyzed as OFDMA has been considered as the most suitable air-interface technique for the ever-growing wireless access networks and standards. This paper focuses on the process of assigning subcarriers, bits and power dynamically in an OFDMA based relay network such that the power of the BS and the RSs in a cell is reduced.

This paper focuses on identifying the best optimal technique for resource allocation from the field of swarm intelligence. This paper provides a

comparative study of two of the most popular optimization techniques namely ACO and ABC.

Subset based ACO and ABC is presented in this paper to optimize the subcarriers, bits and power allocation. Based on ACO and ABC algorithms without relay stations, a dynamic subcarrier, bit and power allocation scheme is presented for a relay network.

The performance of the ABC optimization approach is observed to outperform the ACO approach. The overall analysis of the two techniques is clearly discussed in this paper.

The presented resource allocation technique indicates optimum performance in a case denoting fundamental features of a system according to IEEE 802.16, LTE or WINNER. The performance of the proposed ABC optimization based approach is observed to be better than ACO approach.

REFERENCES

- [1] Osianoh Glenn Aliu, Muhammad Ali Imran, Barry Evans, "Self organised cellular networks as the future of wireless communication", 2010 PGNet.
- [2] C. Müller, A. Klein, F. Wegner and M. Kuipers, "Costs and Performance of Non-Cooperative Relay Networks", in Proc. of the 13th European Wireless Conference, Paris, France, Apr. 2007.
- [3] Mohamed Salem, Abdulkareem Adinoyi, Mahmudur Rahman, Halim Yanikomeroglu, David Falconer, Young-Doo Kim, Eungsun Kim, and Yoon-Chae Cheong, "An Overview of Radio Resource Management in Relay-Enhanced OFDMA-Based Networks", IEEE Communications Surveys & Tutorials, Vol. 12, No. 3, Third Quarter, 2010.
- [4] I. F. Akyildiz, S. Mohanty, and J. Xie. A ubiquitous mobile communication architecture for next-generation heterogeneous wireless systems. IEEE Commun. Mag., 43(6):S29–S36, Jun. 2005.
- [5] M. Salem, A. Adinoyi, H. Yanikomeroglu, and D. Falconer, "Opportunities and challenges in OFDMA-based cellular relay networks: A radio resource management perspective", IEEE Transactions on Vehicular Technology, 59(5), pp. 2496-2510, January 2010.
- [6] Hao Zhang, Yuan Liu and Meixia Tao, "Resource Allocation with Subcarrier Pairing in OFDMA Two-Way Relay Networks", IEEE Wireless Communications Letters, 2011.
- [7] Yao Hua, Qian Zhang and Zhisheng Niu, "Resource Allocation in Multi-cell OFDMA-based Relay Networks", proceedings of IEEE INFOCOM, 2010.
- [8] Thayaparan Thanabalasingham, "Resource Allocation in OFDM Cellular Networks", Thesis, The University Of Melbourne, Australia, 2006.
- [9] Mohamed Salem, Abdulkareem Adinoyi, Halim Yanikomeroglu, and David Falconer, "Fair Resource Allocation Towards Ubiquitous Coverage in OFDMA-based Cellular Relay Networks with Asymmetric Traffic", IEEE, 2011
- [10] L. Le and E. Hossain, "Multihop cellular networks: Potential gains, research challenges, and a resource allocation framework," IEEE Communications Magazine, 45(9), pp. 66-73, September 2007.
- [11] D. Kivanc and H. Liu. Subcarrier allocation and power control for OFDMA. In Proc. Asilomar Conference on Signals, Systems and Computers, volume 1, pages 147–151, Oct./Nov. 2000.
- [12] Yingjun Zhang and K. B. Letaief, Multiuser subcarrier and bit allocation along with adaptive cell selection for OFDM transmission, in Proc. IEEE ICC, pp. 861-865, New York, USA, April 2002.
- [13] Christian Muller, Anja Klein, Frank Wegner, Martin Kuipers and Bernhard Raaf, "Dynamic Subcarrier, Bit and Power Allocation in OFDMA-Based Relay Networks", Proc. of 12th International OFDM-Workshop, Hamburg, Germany, 2007.
- [14] OFDM for mobile data communications. White Paper, Flarion Technologies, Inc., Mar. 2003.
- [15] ETSI EN 300 744, V1.5.1 (2004-06), Digital video broadcasting (DVB); framing structure, channel coding and modulation for digital terrestrial television (DVB-T), 2004.
- [16] ETSI EN 300 401, V1.4.1 (2006-01), Radio broadcasting systems; digital audio broadcasting (DAB) to mobile, portable and fixed receivers, 2006.
- [17] IEEE Std. 802.11g, Wireless LAN medium access control (MAC) and physical layer (PHY) specifications, amendment 4: Further higher data rate extension in the 2.4 GHz band, Jul. 2003.
- [18] IEEE Std. 802.16e, Air interface for fixed and mobile broadband wireless access systems, Amendment 2: Physical and medium access control layers for combined fixed and mobile operation in licenced band and corrigendum 1, Feb. 2006.
- [19] Y. Wu and W. Y. Zou. Orthogonal frequency division multiplexing: A multicarrier modulation scheme. IEEE Trans. Consumer Electron., 41(3):392–399, Aug. 1995.
- [20] L. Tassiulas and A. Ephremides, "Stability properties of constrained queueing systems and scheduling policies for maximum throughput in multihop radio networks," IEEE Transactions on

- Automatic Control, pp. 1936-1948, December 1992.
- [21] M. Kobayashi and G. Caire, "Joint beamforming and scheduling for a multi-antenna downlink with imperfect transmitter channel knowledge," *IEEE Journal on Selected Areas in Communications*, 25(7), pp. 1468-1477, September 2007.
- [22] P. Parag, S. Bhashyam, and R. Aravind, "A subcarrier allocation algorithm for OFDMA using buffer and channel state information," *Vehicular Technology Conference*, pp. 622-625, September 2005.
- [23] A. Eryilmaz and R. Srikant, "Fair resource allocation in wireless networks using queue-length-based scheduling and congestion control," *IEEE/ACM Transactions on Networking*, 15(6), pp. 1333-1344, December 2007.
- [24] M. Neely, E. Modiano, and C. Rohrs, "Dynamic power allocation and routing for time-varying wireless networks," *IEEE Journal on Selected Areas in Communications*, 23(1), pp. 89-103, January 2005.
- [25] H. Viswanathan and S. Mukherjee, "Performance of cellular networks with relays and centralized scheduling," *IEEE Transactions on Wireless Communications*, 4(5), pp. 2318-2328, September 2005.
- [26] J. G. Proakis, *Digital Communications*, 3rd edition, McGraw Hall, New York, USA 1995.
- [27] I. Kim, I.-S. Park, Y. H. Lee, "Use of Linear Programming for Dynamic Subcarrier and Bit Allocation in Multiuser OFDM," *IEEE Transaction on Vehicular Technology*, vol. 55, no. 9, pp. 80-89, Sep. 2004.
- [28] Marco Dorigo and Christian Blum, "Ant colony optimization theory: a survey", *Theoretical Computer Science*, Volume 344 Issue 2-3, 17 November 2005.
- [29] Sri Hari Krishna Vuppalapati and Anurag K. Srivastava, "Application of ant colony optimization for reconfiguration of shipboard power system", *International Journal of Engineering, Science and Technology* Vol. 2, No. 3, pp. 119-131, 2010.
- [30] Daniel C. L., Khan, H. I., and Ravichandran S., "Distribution network reconfiguration for loss reduction using ant colony algorithm", *Annual IEEE India Conference (Indicon)*, pp. 619-622, 2005.
- [31] Hamdaoui H., Hadjeri, S. and Zebblah, A., "A New Constructive Method for Electrical Power System using Ant Colony", *Leonardo Electronic Journal of practices and Technologies*. Vol. 7, No. 12, pp. 49-60, 2008.
- [32] Leiguizamon G. and Michalewicz Z., "A New Version of Ant System for Subset Problems," *Proceedings of the congress on Evolutionary Computation*. Vol. 2, 1999.
- [33] V. Ravi and K. Duraiswamy, "A Novel Power System Stabilization Using Artificial Bee Colony Optimization", *European Journal of Scientific Research* ISSN 1450-216X Vol.62 No.4 (2011), pp. 506-517.
- [34] Omkar, S.N. & Senthilnath, J. 2009, "Artificial Bee Colony for Classification of Acoustic Emission Signal", *Int. J. Aerospace Innov.*, Vol. 1, No. 3, pp. 129-143.
- [35] H. Yanikomeroglu, "Fixed and mobile relaying technologies for cellular networks," *Second Workshop on Applications and Services in Wireless Networks (ASWN'02)*, pp. 75-81, July 2002.
- [36] IST-2003-507581 WINNER D5.4 v. 1.00 - Final Report on Link and System Level Channel Models, www.ist-winner.org, 2005.
- [37] G. Ferrari, O. K. Tonguz, "Impact of Mobility on the BER Performance of Ad Hoc Wireless Networks," *IEEE Transaction on Vehicular Technology*, vol. 56, no. 1, pp. 271-286, Jan. 2007.

3/11/2013